

5 Rec'd PCT/PTO 02 MAY 2000

Customized FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.
P06682US0/RFH

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.
(If known, see 37CFR 1.5)
09/486,962

INTERNATIONAL APPLICATION NO.
PCT/FR98/01879

INTERNATIONAL FILING DATE
September 2, 1998

PRIORITY DATE CLAIMED
September 4, 1997

TITLE OF INVENTION: METHOD AND INSTALLATION FOR UPLOADING A USER'S DECODER PLATFORM

APPLICANT(S) FOR DO/EO/US: CHEVREUL, J. et al

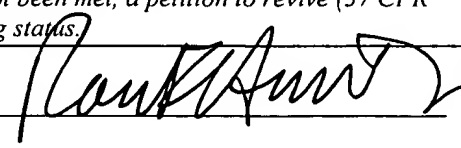
Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 - ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1).
 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. A **translation** of the International Application into English (35 U.S.C. 371(c)(2)).
 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments had NOT expired.
 - d. have not been made and will not be made.
 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☒ 9. An **oath** or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. A translation of the annexes to the Int'l Prelim. Exam. Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:**
11. An **Information Disclosure Statement** under 37 C.F.R. 1.97 and 1.98.
 - ☒ 12. An **Assignment** document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. A **First preliminary amendment**.
A Second or subsequent preliminary amendment.
 14. A substitute specification.
 15. A change of power of attorney and/or address letter.
 16. Other items or information:
Small Entity Statement
 - ☒ Good quality copy of Preliminary Amendment filed March 3, 2000
 - ☒ A copy of the Notification of Missing Requirements under 35 U.S.C. 371(c)(2).
 - ☒ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).

130.00 OP

017C:154

Date: May 2, 2000

U.S. APPLICATION NO. (if known) 09/486,962		INTERNATIONAL APPLICATION NO. PCT/FR98/01879		ATTORNEY DOCKET NO. P06682US0/RFH	
X 17. The following fees are submitted: Basic National Fee (37 CFR 1.492 (a) (1)-(5): ___ Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$ 970 X Search Report has been prepared by the EPO or JPO \$ 840 ___ No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 690 ___ International preliminary examination fee paid to USPTPO \$ 670 ___ Int.'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) \$ 96 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY <div style="text-align: right;">\$</div>	
X Surcharge of \$130 for furnishing the oath or declaration later than [] 20 mos. ___ from the earliest claimed priority date (37 CFR 1.492(e)). [] 30 mos. +				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	10 - 20 =	0	X \$18 =	\$	
Independent Claims	02 - 03 =	0	X \$78 =	\$	
___ Multiple Dependent Claim(s) (if applicable)			+ \$260 =	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 130.00	
___ Reduction of 1/2 for filing by small entity, if applicable. ___ A Small Entity Statement must also be filed.				\$	
SUBTOTAL =				\$ 130.00	
___ Processing fee of \$130 for furnishing the English translation later than [] 20 mos. ___ from the earliest claimed priority date (37 CFR 1.492(f)). [] 30 mos. +				\$	
TOTAL NATIONAL FEE =				\$ 130.00	
X Fee for recording the enclosed assignment, accompanied by a cover sheet - \$40 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 170.00	
<i>Amount to be</i>				<i>Refunded</i>	\$
				<i>Charged</i>	\$
X a. A check in the amount of \$170.00 to cover the above fees is enclosed. ___ b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees. X c. The Commissioner is hereby authorized to charge any additional fees required or credit overpayment to Deposit Account No. 12-0555.					
<i>Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</i>					
SEND ALL CORRESPONDENCE TO: Ross F. Hunt, Jr. At the address (below) of CUSTOMER NO. 000881. LARSON & TAYLOR, PLC 1199 NORTH FAIRFAX ST. SUITE 900 ALEXANDRIA, VA 22314			SIGNATURE:  NAME: Ross F. Hunt, Jr. REG. NO.: 24082 PHONE NO.: 703-739-4900 Date: May <u>2</u> , 2000		

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The PTO did not receive the following
listed item(s)

NO POST CARD

09/486962
09/486,962



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR
Box PCT
Washington, D.C. 20231

J

P06682US0/RF

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
000881	5611	PCT/FR98/01879
INTERNATIONAL APPLICATION NO.		
I.A. FILING DATE: 02/98 PRIORITY DATE: 04/97		
DATE MAILED: 04/21/00		

LARSON & TAYLOR
TRANSPOTOMAC PLAZA
1199 NORTH FAIRFAX STREET
SUITE 900
ALEXANDRIA VA 22314

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☒ a non-English language.
☐ English.

5-21-2000

- ☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☐ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☒ Preliminary amendment(s) filed Mar 3, 2000 and APR 25 2000
☐ Information Disclosure Statement(s) filed _____ and _____
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

RECEIVED

LARSON AND TAYLOR

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

- Enclosed:
☐ PCT/DO/EO/917
☐ PTO-875
☐ Notice of Defective Translation

FORM PCT/DO/EO/905 (December 1997)

John Anderson
 Telephone: 703 348-9116